

Substitute for form 1449A/PTO				<i>Complete If Known</i>	
				Application Number	10/612,491
				Filing Date	July 1, 2003
				First Named Inventor	SAADAT, VAHID
				Art Unit	3763
				Examiner Name	Unassigned
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U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
09	1	2,201,610	05-21-1940	Dawson, Jr.	
	2	2,413,142	12-24-1945	Jones et al.	
	3	3,150,379	09-29-1964	Brown	
	4	3,166,072	01-19-1965	Sullivan, Jr.	
	5	3,494,006	02-10-1970	Brumlik	
	6	3,551,987	01-05-1971	Wilkinson	
	7	3,646,615	03-07-1972	Ness	
	8	3,664,345	05-23-1972	Dabbs et al.	
	9	3,753,438	08-21-1973	Wood et al.	
	10	3,867,944	02-25-1975	Samuels	
	11	3,874,388	04-01-1975	King et al.	
	12	3,910,281	10-07-1975	Kletschka et al.	
	13	3,976,079	08-24-1976	Samuels et al.	
	14	4,007,743	02-15-1977	Blake	
	15	4,060,089	11-29-1977	Noiles	
	16	4,069,825	01-24-1978	Akiyama	
	17	4,245,624	01-20-1981	Komiya	
	18	4,367,746	01-11-1983	Derechinsky	
	19	4,414,720	11-15-1983	Crooms	
	20	4,462,402	07-31-1984	Burgio	
	21	4,494,531	01-22-1985	Gianturco	
	22	4,532,926	08-06-1985	O'Holla	
	23	4,534,350	08-13-1985	Golden et al.	
	24	4,548,202	10-22-1985	Duncan	
	25	4,586,503	05-06-1986	Kirsh et al.	
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	27	4,592,356	06-03-1986	Gutierrez	
	28	4,595,007	06-17-1986	Mericle	
	29	4,610,250	09-09-1986	Green	
	30	4,669,473	06-02-1987	Richards et al.	
	31	4,705,040	11-10-1987	Mueller et al.	
	32	4,711,002	12-08-1987	Kreeger	
	33	4,724,840	02-16-1988	McVay et al.	
	34	4,750,492	06-14-1988	Jacobs et al.	
	35	4,765,335	08-23-1988	Schmidt et al.	
	36	4,832,055	05-23-1989	Palestrant	
	37	4,841,888	06-27-1989	Mills et al.	
	38	4,873,976	10-17-1989	Schreiber	
	39	4,890,615	01-02-1990	Caspari et al.	
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	41	4,929,240	05-29-1990	Kirsh et al.	

Examiner Signature	<i>Plautz</i>	Date Considered	9/14/2006
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DY	42	4,957,498		09-18-1990	Caspari et al.
	43	5,032,127		07-16-1991	Frazeet al.
	44	5,035,692		07-30-1991	Lyon et al.
	45	5,037,433		08-06-1991	Wilk et al.
	46	5,041,129		08-20-1991	Hayhurst et al.
	47	5,059,201		10-22-1991	Asnis
	48	5,088,979		02-18-1992	Filipi et al.
	49	5,100,418		03-31-1992	Yoon et al.
	50	5,108,420		04-28-1992	Marks
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	52	5,123,914		06-23-1992	Cope
	53	5,201,746		04-13-1993	Shichman
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	56	5,217,473		06-08-1993	Yoon
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	61	5,234,430		08-10-1993	Huebner
	62	5,234,445		08-10-1993	Walker et al.
	63	5,250,053		10-05-1993	Snyder
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	66	5,268,001		12-07-1993	Nicholson et al.
	67	5,282,827		02-01-1994	Kensey et al.
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	72	5,316,543		05-31-1994	Eberbach
	73	5,327,914		07-12-1994	Shlain
	74	5,330,503		07-19-1994	Yoon
	75	5,334,217		08-02-1994	Das
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	78	5,354,298		10-11-1994	Lee et al.
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	81	5,372,146		12-13-1994	Branch
	82	5,372,604		12-13-1994	Trott

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	83	5,374,275	12-20-1994	Bradley et al.	
	84	5,380,334	01-10-1995	Torrie et al.	
	85	5,382,231	01-17-1995	Shlain	
	88	5,395,030	03-07-1995	Kuramoto et al.	
	87	5,403,326	04-04-1995	Harrison et al.	
	88	5,403,329	04-04-1995	Hinchcliffe	
	89	5,417,691	05-23-1995	Hayhurst et al.	
	90	5,417,699	05-23-1995	Klein et al.	
	91	5,425,744	06-20-1995	Fagan et al.	
	92	5,429,598	07-04-1995	Waxman et al.	
	93	5,431,666	07-11-1995	Sauer er al.	
	94	5,433,721	07-18-1995	Hooven et al.	
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	98	5,437,680	08-01-1995	Yoon	
	97	5,437,681	08-01-1995	Meade et al.	
	98	5,445,167	08-29-1995	Yoon et al.	
	99	5,458,609	10-17-1995	Gordon et al.	
	100	5,462,560	10-31-1995	Stevens	
	101	5,462,561	10-31-1995	Voda	
	102	5,465,894	11-14-1995	Clark et al.	
	103	5,470,337	11-28-1995	Moss	
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	105	5,476,470	12-19-1995	Fitzgibbons, Jr.	
	106	5,478,354	12-26-1995	Tovey et al.	
	107	5,480,405	01-02-1996	Yoon	
	108	5,496,332	03-05-1996	Sierra et al.	
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	110	5,499,991	03-19-1996	Garman et al.	
	111	5,501,691	03-26-1996	Goldrath	
	112	5,507,811	04-16-1996	Koike et al.	
	113	5,520,691	05-28-1996	Branch	
	114	5,520,701	05-28-1996	Lerch	
	115	5,522,843	06-04-1996	Zang	
	118	5,527,321	06-18-1996	Hinchliffe	
	117	5,527,322	06-18-1996	Klein et al.	
	118	5,527,342	06-18-1996	Pietrzak et al.	
	119	5,531,759	07-02-1996	Kensey et al.	
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	121	5,540,704	07-30-1996	Gordon et al.	
	122	5,549,621	08-27-1996	Bessler et al.	
	123	5,562,684	10-08-1996	Kammerer	

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	124	5,562,686	10-08-1996	Sauer et al.	
	125	5,562,688	10-08-1996	Riza	
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	128	5,571,116	11-05-1996	Bolanos et al.	
	129	5,571,119	11-05-1996	Atala	
	130	5,573,540	11-12-1996	Yoon	
	131	5,573,548	11-12-1996	Nazre et al.	
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	133	5,582,616	12-10-1996	Bolduc et al.	
	134	5,584,835	12-17-1996	Greenfield	
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	136	5,601,557	02-11-1997	Hayhurst	
	137	5,603,718	02-18-1997	Xu	
	138	5,613,974	03-25-1997	Andreas et al.	
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	142	5,630,540	05-20-1997	Blewett	
	143	5,632,752	05-27-1997	Buelna	
	144	5,643,274	07-01-1997	Sander et al.	
	145	5,643,295	07-01-1997	Yoon	
	146	5,643,317	07-01-1997	Pavcnik et al.	
	147	5,643,320	07-01-1997	Lower et al.	
	148	5,658,312	08-19-1997	Green et al.	
	149	5,658,313	08-19-1997	Thal	
	150	5,662,662	09-02-1997	Bishop et al.	
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	152	5,665,109	09-09-1997	Yoon	
	153	5,665,112	09-09-1997	Thal	
	154	5,667,513	09-16-1997	Torrie et al.	
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	162	5,707,394	01-13-1998	Miller et al.	
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	164	5,713,903	02-03-1998	Sander et al.	

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	166	5,724,978	03-10-1998	Tenhoff	
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	183	5,810,853	09-22-1998	Yoon	
	184	5,814,070	09-29-1998	Borzone et al.	
	185	5,817,110	10-06-1998	Kronner	
	186	5,823,956	10-20-1998	Roth et al.	
	187	5,824,011	10-20-1998	Stone et al.	
	188	5,827,298	10-27-1998	Hart et al.	
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	195	5,855,614	01-05-1999	Stevens et al.	
	196	5,860,991	01-19-1999	Klein et al.	
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	198	5,868,762	02-09-1999	Cragg et al.	
	199	5,879,371	03-09-1999	Gardiner et al.	
	200	5,887,594	03-30-1999	LoCiero, III	
	201	5,888,247	03-30-1999	Benetti	
	202	5,891,168	04-06-1999	Thal	
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ad	206	5,899,920	05-04-1999	DeSatnick et al.
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	209	5,916,224	06-29-1999	Esplin
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	211	5,928,264	07-27-1999	Sugarbaker et al.
	212	5,944,738	08-31-1999	Amplatz et al.
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	216	5,954,732	09-21-1999	Hart et al.
	217	5,961,440	10-05-1999	Schweich, Jr. et al.
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	225	5,984,933	11-16-1999	Yoon
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	228	6,027,523	02-11-2000	Schmieding
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	236	6,074,401	06-13-2000	Gardiner et al.
	237	6,077,214	06-20-2000	Mortier et al.
	238	6,077,281	06-20-2000	Das
	239	6,077,291	06-20-2000	Das
	240	6,079,414	06-27-2000	Roth et al.
	241	6,086,600	07-11-2000	Kortenbach
	242	6,110,183	08-29-2000	Cope
	243	6,113,609	09-05-2000	Adams
	244	6,113,611	09-05-2000	Allen et al.
	245	6,119,913	09-19-2000	Adams et al.
	246	6,149,658	11-21-2000	Gardiner et al.

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60329676 v1

Substitute for form 1449A/PTO				Complete If Known	
				Application Number	10/612,491
				Filing Date	July 1, 2003
				First Named Inventor	SAADAT, VAHID
				Art Unit	3763
				Examiner Name	Unassigned
Sheet	8	of	12	Attorney Docket Number	021496-002112US

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Examiner Signature	<i>Draft</i>	Date Considered	9/14/2006
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60329676 v1

Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/612,491
				Filing Date	July 1, 2003
				First Named Inventor	SAADAT, VAHID
				Art Unit	3763
				Examiner Name	Unassigned
Sheet	9	of	12	Attorney Docket Number	021496-002112US

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Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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60329676 v1

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				Filing Date	July 1, 2003
				First Named Inventor	SAADAT, VAHID
				Art Unit	3763
				Examiner Name	Unassigned
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Examiner Signature	<i>DY</i>	Date Considered	9/14/2006
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dg	405	WO	2004/004544	A2	Deem et al.	<input type="checkbox"/>
	406	WO	2004/019787	A2	Devries et al.	<input type="checkbox"/>
	407	WO	2004/019788	A2	Devries et al.	<input type="checkbox"/>
	408	WO	2004/021865	A2	Zirps et al.	<input type="checkbox"/>
	409	WO	2004/021867	A2	Zirps et al.	<input type="checkbox"/>
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	411	WO	2004/021873	A2	Aznoian et al.	<input type="checkbox"/>
	412	WO	2004/021894	A1	Stone	<input type="checkbox"/>
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60329676 v1

Substitute for form 1449B/PTO			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	12	of	12
			<i>Complete If Known</i>
<i>Application Number</i>			10/612,491
<i>Filing Date</i>			July 1, 2003
<i>First Named Inventor</i>			SAADAT, VAHID
<i>Art Unit</i>			3763
<i>Examiner Name</i>			Unassigned
<i>Attorney Docket Number</i>			021496-002112US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
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Examiner Signature	<i>DY</i>	Date Considered	9/14/2006
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				Filing Date	July 1, 2003
				First Named Inventor	SAADAT, VAHID
				Art Unit	3763
				Examiner Name	Unassigned
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Examiner Signature	<i>Dy</i>	Date Considered	7/14/2006
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60503439 v1

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/612,491
				Filing Date	July 1, 2003
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				Art Unit	3763
				Examiner Name	Unassigned
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	32	WO	2005/004727	A1	01-20-2005	Endogun Medical Systems, Ltd
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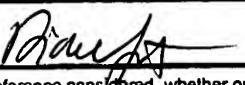
Examiner Signature	<i>Drafter</i>	Date Considered	9/14/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT						
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Sheet	3	of			3	
					Examiner Name	Unassigned
					Attorney Docket Number	021496-002112US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
ay	35	CHUTTANI et al., "A Novel Endoscopic Full-thickness Plicator for Treatment of GERD: An Animal Model Study," <i>Gastrointestinal Endoscopy</i> , Vol. 26, No. 1, (2002), pp. 116-122.		
✓	36	MASON, "Development of Future of Gastroplasties for Morbid Obesity," <i>Arch Surg</i> , Vol. 138 (April 2003), pp. 362-366.		

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Sheet 1 of 1			Complete If Known	
			Application Number	10/612,491
			Filing Date	July 1, 2003
			First Named Inventor	SAADAT, VAHID
			Art Unit	3763
			Examiner Name	Unassigned
			Attorney Docket Number	021496-002112US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DY	1	5,073,166	12-17-1991	Parks et al.	
	2	5,814,064	09-29-1998	Daniel et al.	
	3	6,056,770	05-02-2000	Epstein et al.	
	4	6,238,412 B1	05-29-2001	Dubrule et al.	
	5	6,306,163 B1	10-23-2001	Fitz	
	6	6,391,044 B1	05-21-2002	Yadav et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
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